Assignment 3

Post Date: 02 Nov 2015   Due Date: 09 Nov 2015, 1 pm
You are permitted and encouraged to work in groups of two.

Problem 1: Union-Find with Extended Linked List Representation   6 Points

Consider the following extended variant of the linked list representation:
Store for each item of the list also the representative of the list. Then an operation FIND can be performed in $\Theta(1)$, but for each UNION operation, we have to update the pointers to the representative of the items in the list that is appended to the head of the other list.

We also store the length of each list and always append the shorter list to the longer one when performing a UNION operation.

(a) Write easily understandable pseudocode for the operations MAKESET, UNION, and FIND.

Consider a sequence of $m$ operations MAKESET, UNION, and FIND, of which $n$ are MAKESET operations.

(b) Prove that the pointer repr of a fixed item can be updated at most $\lceil \log n \rceil$ times.

(c) Conclude that the whole sequence can be performed in $O(m + n \log n)$ time.

Problem 2: Sequence of Operations   6 Points

Consider sequences of operations MAKESET, FIND with path compression, and weighted UNION where all UNION operations are performed before the first FIND operation.

(a) Show that the amortized cost for $m$ operations is in $O(m)$.

(b) Does (a) hold if FIND still performs path compression but UNION is unweighted?

(c) Does (a) hold if UNION is still weighted but FIND does not perform path compression?
Problem 3: Application of Union-Find

In this exercise we want to use Union-Find as the foundation to solve a different problem.

We maintain a forest \( \mathcal{F} = \{ T_i \} \) of rooted trees under three operations:

- **MAKE_TREE**\((v)\): create a tree whose only node is \( v \).
- **FIND_DEPTH**\((v)\): return the depth (distance to the root) of node \( v \) within its tree.
- **APPEND**\((r, v)\): make node \( r \), which is assumed to be the root of a tree, become the child of node \( v \), which is assumed to be in a different tree than \( r \) but may or may not itself be a root.

(a) Suppose that we use a tree representation similar to a disjoint-set forest: \( p[v] \) is the parent of node \( v \), except that \( p[v] = v \) if \( v \) is a root. If we implement \( \text{APPEND}(r, v) \) by setting \( p[r] \leftarrow v \) and \( \text{FIND_DEPTH}(v) \) by following the path from \( v \) up to the root in \( T_i \) and returning a count of all nodes other than \( v \) encountered, show that the worst-case running time of a sequence of \( m \) \( \text{MAKE_TREE} \), \( \text{FIND_DEPTH} \), and \( \text{APPEND} \) operations is in \( \Theta(m^2) \).

By using the weighted union and path compression heuristic, we can reduce the worst-case running time. We use the disjoint-set forest \( \mathcal{S} = \{ S_i \} \), where each set \( S_i \) (which is itself a tree) corresponds to a tree \( T_i \) in the forest \( \mathcal{F} \). The tree structure within a set \( S_i \), however, does not necessarily correspond to that of \( T_i \). In fact, the implementation of \( S_i \) does not record the exact parent-child relationships but nevertheless allows us to determine any node’s depth in \( T_i \).

The key idea is to maintain a **pseudodistance** \( d[v] \) in each node \( v \), which is defined so that the sum of pseudodistances along the path from \( v \) to the root of its set \( S_i \) equals the depth of \( v \) in \( T_i \). That is, if the path from \( v \) to its root in \( S_i \) is \( v_0, v_1, \ldots, v_k \), where \( v_0 = v \) and \( v_k \) is \( S_i \)'s root, then the depth of \( v \) in \( T_i \) is \( \sum_{j=0}^{k} d[v_j] \).

(b) Give an implementation of \( \text{MAKE_TREE} \).

(c) Show how to modify \( \text{FIND} \) (from Union-Find) to implement \( \text{FIND_DEPTH} \). Your implementation should perform path compression, and its running time should be linear in the length of the path to the root. Make sure that your implementation updates pseudodistances correctly.

(d) Show how to modify the weighted \( \text{UNION} \) operation to implement \( \text{APPEND}(r, v) \), which combines the sets containing \( r \) and \( v \). Make sure that your implementation updates the pseudodistances correctly. Note that the root of a set \( S_i \) is not necessarily the root of the corresponding tree \( T_i \).

Let \( S_r \) and \( S_v \) be the trees containing \( r \) and \( v \). Note that the choice to append \( S_r \) to the root of \( S_v \) or vice-versa depends on whether \( |S_r| < |S_v| \) or \( |S_r| \geq |S_v| \).